

AGENDA

Meeting: Schools Forum
Place: The Kennet Room - County Hall, Trowbridge BA14 8JN
Date: Thursday 5 October 2017
Time: 1.30 pm

Please direct any enquiries on this Agenda to Edmund Blick , of Democratic Services, County Hall, Bythesea Road, Trowbridge, direct line 01225 718059 or email edmund.blick@wiltshire.gov.uk

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Membership:

Ms L Percy	Mr J Proctor
Mr N Baker (Chairman)	Mr N Roper
Mrs A Bates	Mr D Whewell
Ms M Chilcott	Mr S White
Mrs J Finney	Mrs C Williamson
Miss Tracy Cornelius	Mr P Cook
Mr J Hamp	Ms A Burnside
Mr J Hawkins	Mr M Cawley
Mrs S Jiggins	

Substitutes:

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AGENDA

PART I

Items to be considered whilst the meeting is open to the public

1 **Apologies and Changes of Membership**

To receive any apologies for absence.

2 **Minutes of the previous Meeting**

To approve and sign as a correct record the minutes of the meeting held on Thursday 22 June 2017 (copy attached)

3 **Declaration of Interests**

To receive any declarations of disclosable interests or dispensations granted by the Standards Committee.

4 **Chairman's Announcements**

5 **Children and Young People's Trust Board Update**

To receive a verbal update from Susan Tanner- Head of Commissioning and Joint Planning.

6 **Budget Monitoring** (*Pages 7 - 10*)

To receive budget monitoring information for the financial year 2016-17.

7 **Reports from Working Groups** (*Pages 11 - 16*)

To receive minutes, reports and/or verbal updates from the following working groups:

1. Early Years Reference Group Joint Meeting of School Funding (verbal update- J Proctor)
2. Working Group and SEN Working Group (report and minutes attached- Liz Williams)

8 **Virtual School - Funding Overview and Proposed Pupil Premium Arrangements** *(Pages 17 - 20)*

To receive an overview report from Christ Whitfield- Virtual School Head Teacher, of Pupil Premium and Central Schools Block DSG expenditure for Children and Young People from Virtual School.

9 **Schools Revenue Balances 2016-17** *(Pages 21 - 38)*

To receive an update report from Grant Davis- Schools Strategic Financial Support Manager, to present the position of revenue balances of Wiltshire maintained schools, as at 31st March 2017, and identify those that are in deficit.

10 **TU Facilities Time Budgets 2018-19** *(Pages 39 - 40)*

To receive a report from Grant Davis- Schools Strategic Financial Support Manager, to provide details of the current Trade Union Facilities Time Agreement for Wiltshire Schools and set out a proposal for the funding of time for the professional associations in representing their members.

11 **National Funding Formula for Schools & High Needs** *(Pages 41 - 46)*

To receive reports from Liz Williams- Head of Finance and Grant Davis- Schools Strategic Financial Support Manager.

11a **Overview of National Funding Formula for Schools & High Needs** *(Pages 47 - 50)*

11b **Schools Block** *(Pages 51 - 56)*

11c **High Needs Block** *(Pages 57 - 60)*

11d **Central Schools Block** *(Pages 61 - 62)*

12 **Projecting Demand for Special School Places** *(Pages 63 - 66)*

To receive an update report from Susan Tanner- Head of Commissioning and Joint Planning to update Schools Forum on the work being undertaken to understand future demand for special school places.

13 **Confirmation of dates for future meetings**

To confirm the dates of future meetings, as follows:

- Thursday 7 December 2017
- Thursday 22 March 2018

14 **Urgent Items**

Any other items of business, which the Chairman agrees to consider as a matter of urgency.

PART II

Item(s) during consideration of which it is recommended that the public should be excluded because of the likelihood that exempt information would be disclosed

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DEDICATED SCHOOLS BUDGET – BUDGET MONITORING 2017-18

Purpose of the Report

1. To present budget monitoring information against the Dedicated Schools Grant (DSG) for the financial year 2017-18 as at 31st August 2017.

Main Considerations

2. Appendix 1 to this report outlines the budget monitoring summary as at 31st August 2017.
3. An underspend of £0.223 million is currently projected against the overall schools budget.
4. High Needs budgets are currently projected to be overspent by £0.100 million. This includes a significant underspend against top up budgets for post-16 learners. Whilst this is in part due to a continuation of the movement from more costly out of county placements to increased numbers of learners accessing provision at Wiltshire College, it is also likely that the full numbers of learners accessing college provision are not yet known and so it is expected that spend against this budget will increase. The underspend against post-16 top ups is offsetting a continued trend of overspend against the Independent Special School (ISS) budget.
5. Budgets for the free entitlement for 15 hours childcare for 3 & 4 year olds are currently assumed to be on target to break even. Data on take up of the free entitlement received to date indicates that this budget will be underspent however we do not yet have any data to indicate the take up of the additional entitlement to 30 hours free childcare for children of working parents. After the autumn data collection from settings it will be possible to make a more accurate forecast against this budget.

Proposals

6. Schools Forum is asked to note the budget monitoring position at the end of August 2017.

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Financial Monitoring

Service Areas	Current Budget 2017-18 £m	Projected Outturn for Year £m	Variation for Year £m	% Variance
1 Funding Schools				
DSG Funded Expenditure - Delegated to Schools	265.283	265.283	0.000	0.0%
Contingency & Growth Fund	1.058	1.058	0.000	0.0%
Total	266.340	266.340	-	0.0%
2 0-25 SEND Service				
Pre-16				
Independent Special Schools	4.572	5.396	0.823	18.0%
Named Pupil Allowances	2.635	3.364	0.730	27.7%
Top Up Budgets - Wiltshire Maintained Schools & Academies	10.234	9.521	-0.713	-7.0%
Top Up Budgets - Non-Wiltshire Maintained Schools & Academies	0.966	1.584	0.617	63.9%
Post-16				
Top Up Budgets - Post- 16 Placements	6.998	5.433	-1.565	-22.4%
Support Services				
Specialist Provision and EY Inclusion	0.575	0.711	0.136	23.7%
SEND Service	2.017	2.089	0.071	3.5%
Total 0-25 SEND Service	27.997	28.097	0.100	0.4%
3 Commissioning & Performance and School Effectiveness				
Schools Maternity Costs	0.576	0.457	-0.119	-20.6%
Trades Union Facilities Costs	0.035	0.076	0.041	120.0%
SIMS & HCSS Licences	0.173	0.173	0.000	0.0%
Speech & Language	0.479	0.479	0.000	0.0%
Early Year Childcare & Early Dev Team	0.231	0.231	0.000	0.0%
Other Costs incl. Copyright Licences	0.459	0.421	-0.037	-8.2%
Admissions Service	0.245	0.226	-0.018	-7.5%
Total Commissioning, Performance & School Effectiveness	2.196	2.063	-0.133	-6.1%
4 Early Years Services				
Early Years Single Funding Formula - 3 & 4 yo	21.351	21.351	0.000	0.0%
Early Years Single Funding Formula - 2 yo	2.743	2.771	0.027	1.0%
Other Early Years Support	0.462	0.438	-0.025	-5.3%
Early Years Pupil Premium Grant	0.347	0.347	0.000	0.0%
Total Early Years	24.903	24.906	0.003	0.0%
5 Safeguarding				
Child Protection in Schools	0.028	0.028	0.000	0.0%
Total	0.028	0.028	-	0.0%
6 Early Help Services				
Ethnic Minority Achievement Service & Traveller's Education	0.474	0.524	0.050	10.6%
Secondary Devolved Funding	2.801	2.804	0.003	0.1%
EOTAS	0.542	0.362	-0.180	-33.3%
Behaviour Support	0.774	0.710	-0.065	-8.3%
	4.591	4.399	-0.192	-4.2%
7 Children's Social Care				
Looked After Children Education Service	0.203	0.203	0.000	0.0%
Total	0.203	0.203	-	0.0%
8 DSG Within Corporate Services				
Gross Expenditure	4.089	4.089	0.000	0.0%
Total	4.089	4.089	-	0.0%
	330.349	330.126	- 0.223	-0.1%

Note POSITIVE variances = OVERSPEND

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Wiltshire Council

Schools Forum
5th October 2017

Report from the School Funding Working Group and SEN Working Group

Purpose of report

1. To report on the joint meeting of the School Funding Working Group and SEN Working Group held on 19th September 2017.

Main considerations for School Forum

2. The minutes of the meetings are attached at Appendix 1.
3. The working group considered a report on the financial compliance statements currently sent to maintained schools confirming compliance with deadlines for financial returns etc. it was noted that due to changes within the service the statement is becoming more difficult and fragmented to complete and a proposal was brought to the meeting to cease the financial compliance statement and focus on more targeted work with schools to support financial management. It was agreed that the compliance statement should cease and the working group recommended that consideration of the financial returns to be completed by schools be included in the work of the Design and Task Group reporting in to the Education Transformation Board.

Proposals

4. That Schools Forum notes the minutes of the School Funding Working Group and SEN Group meeting.
5. That Schools Forum confirm the recommendation of the working groups that consideration of the financial returns to be completed by schools be included in the work of the Design and Task Group reporting in to the Education Transformation Board.

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**Joint Meeting of School Funding Working Group and SEN Working Group
19th September 2017, 8:30am
Cullum Room, County Hall**

MINUTES

Present:

Liz Williams	Head of Finance
Grant Davis	Schools Strategic Financial Support Manager
Susan Tanner	Head of Commissioning & Joint Planning
John Hawkins	Teachers Associations Representative
Lisa Percy	Head Teacher, Hardenhuish School
Neil Baker	Head Teacher, Christchurch School
Catriona Williamson	Head Teacher, Mere Primary School
Tracy Cornelius	Head Teacher, Kington St Michael Primary School
Phil Cook	Head Teacher, Larkrise School

Apologies:

Debbie Bennett	SENCO, Hardenhuish School

		Action
1	<p>Minutes of Previous Meeting</p> <p>The minutes of the previous meeting had been considered by Schools Forum.</p> <p>GD updated the group on progress in relation to the procurement of Schools Management Information System for maintained schools. He also noted that a letter would be sent to schools shortly in relation to options for the procurement of support for the SIMS system.</p>	GD
2	<p>Schools Revenue Balances 2016-17</p> <p>GD presented a report on the revenue balances for maintained schools for the 2016-17 financial year. Overall, revenue balances across all sectors (Primary, Secondary and Special) were reducing and the level of deficits had increased during the 2016-17 financial year.</p> <p>The group reviewed the detailed appendices and noted that Wiltshire was currently not in a position that would trigger a DfE investigation of the levels of surpluses or deficits.</p> <p>JH confirmed that as a Governor he was very supportive of the Annual Financial Statement that had been introduced to require maintained schools to confirm that the year end position and level of surplus/deficit has been signed off by the governing body. Linked to this NB stated that as part of the work of the Education Transformation Board, a similar approach was being incorporated in to other returns that schools would need to make to the LA.</p> <p>No amendments were proposed to the report</p>	
3	<p>Schools Financial Compliance Statement</p>	

	<p>GD confirmed the current purpose of the compliance statements as as tool to offer governors and members of a school's senior leadership team confidence that the Local Authority's reporting regime is being met by their school, offering a visual and concise record of their compliance for the year. As a result of changes in structures and reallocations of tasks within the Accountancy Team the production of the data for the return was proving more difficult and it was proposed that the compliance statement ceases to be produced and that work is instead focussed towards a more strategic, proactive approach in working with schools to target key areas in an effort to enhance their financial management and achieve efficiency savings.</p> <p>It was agreed that the recommendation from the working groups would be to cease the compliance statement in its current form and to link with the work of the Education Transformation Board in agreeing the format and content of future returns from schools.</p>	GD/NB
4	<p>Trade Union Facilities Time Budget 2018-19</p> <p>GD reported on expenditure levels against the TU facilities budget in 2016-17 and 2017-18. The budget is currently set at £50,000 and was overspent by £4,789 in 2016-17 and was projected to overspend in 2017-18.</p> <p>It was agreed that the current collective agreement is of benefit to Wiltshire and should be supported. It was agreed that a proposal should be taken to Schools Forum to increase the budget, and therefore the charge to schools, in 2018-19.</p>	GD
5	<p>National Funding Formula for Schools</p> <p>EW reported that the DfE had announced further details of the proposed national funding formula for schools and high needs on 14th September. The Operational Guidance for schools budgets in 2018-19 had also been issued in early August.</p> <p>Now that the announcements had been made then officers were working on understanding the implications of the national formula. The operational guidance also outlined a timetable and process for the agreeing of the 2018-19 schools budget and it would be necessary to ensure that sufficient time is built in to the process to include political ratification of the Wiltshire formula. EW was looking at options which would include consideration at a January 2018 Cabinet Meeting or earlier consideration at December Cabinet with delegated authority to the Cabinet Member for final agreement of the formula. Either way it would be necessary for Schools Forum to make its recommendations on the formula at the meeting on December 7th 2017.</p> <p>GD presented a report giving the main headlines arising from the proposed national funding formula. One of the key differences from the initial consultation is that the levels of funding had been increased and therefore all schools would gain a minimum of 0.5% per pupil. EW said that the increased funding had been added to the basic per pupil amount which did address some of the concerns that too much emphasis had been placed on additional educational need factors in the initial</p>	

	<p>proposals.</p> <p>The group expressed disappointment that whilst the responses to the initial consultation had been noted by the DfE they did not seem to have been taken in to account.</p> <p>It was noted that there would still be some flexibility to move money between DSG blocks but that this would need consultation with schools and agreement by Schools Forum.</p> <p>It was agreed that a summary report on the key principles and main headlines from the government announcement would be taken to Schools Forum with a summary of next steps.</p>	
6	<p>National Funding Formula for High Needs</p> <p>EW presented a report outlining the key features of the proposed national funding formula for high needs. The proposed formula had not changed significantly from the original proposals and 50% of funding would still be distributed using historical spend levels.</p> <p>Wiltshire will be on the funding floor for the new formula and therefore will see the minimum increase of 0.5% per head in 2018-19</p> <p>It was agreed that a report on the key features and implications of the national funding formula for high needs would be taken to Schools Forum</p>	
7	<p>Projecting Demand for Special School Places</p> <p>ST presented a report updating the working groups on the work being undertaken to understand future demand for special school places and the next steps proposed by the local authority.</p> <p>Following the work carried out with special schools and by an independent consultant detailed demand analysis work has been carried out to estimate the anticipated demand for special school places across Wiltshire by area and by type of need. It is estimated that an additional 220 special school places for 5-16 year olds, and a further 88 post 16 places, would be required by 2026.</p> <p>ST confirmed that the LA would be taking a paper to Cabinet on 7th November seeking permission to go to consultation on proposals to address the issues of demand and securing a better geographical distribution of provision. Special Schools have also been invited to submit proposals which address these issues for inclusion in any consultation document.</p>	
8	<p>Date of Next Meeting</p> <p>Next joint meeting of the SEN Working Group and School Funding Working Group to take place on Monday 20th November, 1:30pm, County Hall, Trowbridge</p>	

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Wiltshire Council

Schools Forum

Thursday 5 October 2017

Overview of Pupil Premium and Central Schools Block DSG expenditure for Children and Young People by Virtual School.

Pupil Premium

Proposed changes attached.

Central Schools Block DSG.

The purpose of this budget is to support Alternative Provision identified by PEP process which is over and above standard payments from Pupil Premium. Consideration would be for Wiltshire Children and Young People, 5 to 16 years, who do not have an EHCP or a more specialist accommodation package that may include education.

Additional needs will be identified by the Virtual School Officer (VSO) in conversation with School and Care Team, this would include a review of the expenditure from Pupil Premium.

Once details have been identified the VSO would look at Alternative Providers who could meet the needs in conjunction with the school. They would need to be registered and have achieved a Good or Outstanding OFSTED category.

There would need to be a balance between expenditure and effectiveness of provision, any plan would have to be time limited and regularly reviewed.

The young person would remain on the school roll; ongoing PEP meetings would be organised by the school to include Alternative Providers as well as the young person; carers and professionals involved.

Ongoing expenditure would be regularly reviewed as part of the ongoing oversight not only to make sure that needs are fully met but the young person does not unnecessarily remain in an expensive provision without the opportunity or plan to move on.

If the Alternative Provider does seem to be the long-term solution a move to their roll maybe considered by the Virtual School, in this situation the PEP process would become the responsibility of the Provider, the VSO would remain involved.

Chris Whitfield

Virtual School Head teacher

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Proposed Changes to Pupil Premium Grant for Children and Young People in Care.

DfE requirements.

- The Virtual Head teacher is responsible for the allocation and oversight of the Pupil Premium Grant for Children and Young People in Care.
- All expenditure must be used to benefit educational outcomes for individuals and groups within this cohort.
- The Virtual Head teacher is accountable for cohort outcomes and must evidence the impact of all expenditure including funds devolved to schools.
- PPG expenditure should be agreed with the school; it can be used for a wider experience; IT hardware; trips etc if robust links can be made with outcomes.
- PPG is ringfenced for all pupils in Care; it's use and impact must be evidenced as part of the wider PEP process.
- PPG must be used for all young people including those on track to achieve age related expectations- accelerated progress should be supported.
- PPG should **not** be used to support foster carer activities that cannot be linked to achievement.
- Some PPG can be pooled for agreed activities by schools directly or Virtual School in conjunction with schools.

Present situation in Wiltshire.

- Some Foster carers make direct applications for funds from PPG to support activities, these can widen the overall experience for young people but may not necessarily link to educational outcomes.
- Several young people who are on track to achieve age related expectations do not access PPG.
- Some young people with complex needs access extensive support, often linked to ASPIRE house in Melksham.
- Needs identified by schools are addressed directly by Virtual School Officers, eg by using tutors. This work may not be closely linked to the schools so monitoring progress is difficult.

Background Information

The Virtual School Officers are highly experienced; their knowledge and advice can be invaluable to the schools. They are working in geographical areas so can help to facilitate joint work for pupils in care.

The Virtual School will link with other professionals in SEND and Care Teams to make sure that support requirements in these areas are not funded by PPG.

There will always be some Looked After Pupils whose educational needs are complex but are not known to SEND, they may require some extra funding from PPG for short or long-term support.

Data tracking systems are being developed within the Virtual School to identify individual needs and possible cohort trends that could be addressed by joint activities.

Pupil Premium Proposal

- A base amount of PPG will be devolved to schools in 3 payments over each Academic Year. Initially this will be £1200.
- For each Academic Year, all schools will be asked to complete a request form in conjunction with the Virtual School Officer to outline PPG spend for pupils in Care on their roll. Each form will include background data; a review of previous expenditure; progress; how spend will positively impact on educational outcomes. If a school feels extra curricula activities or broader support can be linked to progress they can be included.
- Request forms need to be current, they can be submitted retrospectively.

- Each request form will be agreed with VSO and approved by VSH.
- PPG will be reviewed at each PEP to overview how it is being used.
- Any extra needs will be discussed with VSO to review how the base amount is being spent. could any changes be made? Is extra expenditure required?
- If the young person moves schools, the remaining amount will be transferred between schools, the original request form will be reviewed and amended as required.
- Virtual School Officers will advise and support PPG use.
- Schools may choose to pool PP within their school if it meets individual needs., this can be discussed with the VSO. A similar plan can be agreed between clusters of schools.

Remaining funding from PPG

Some funding will need to be retained by the Virtual School to be used for the following purposes.

- Extra support requests for individual pupils.
- Cumulative packages agreed and organised by schools and Virtual School to address trends highlighted by data or background information. Eg: behaviour support during exam period; extra reading strategies
- Transition support between all phases.
- Attendance oversight.
- Specific support initiatives.

The PPG cannot be retained across financial years so expenditure will be reviewed in January of each financial year; the Virtual School will know the expenditure requirements for the final months, any remaining amount will be allocated out for each pupil in Care, the VSO will discuss how the extra money can be spent to improve educational outcomes.

The PPG will be increasing in April 2018 so the allocation will be reviewed.

Advantage of these changes.

- Schools will be aware of the allocated amounts to allow for future planning.
- All PPG requests will go through the school so an overview of support will be easier to manage.
- The whole process will have more clarity.
- There will be stronger working links between schools and the Virtual school.

Wiltshire Council

Schools Forum
5th October 2017

Schools revenue surplus and deficit balances 2016/17

Introduction

1. This report presents the position of revenue balances of Wiltshire maintained schools as at 31st March 2017 and identifies those that are in deficit.
2. The analysis includes those schools that converted to academy status after 31st March 2017 but excludes those that converted during the 2016/17 financial year.
3. Members last considered a report on schools' balances and deficits in October 2016. In that report the value of surpluses was £11,790 million and 17 schools were in deficit with a total value of £3.165 million.

Main considerations

4. The movement in net revenue balances over the past 3 financial years is shown in the following table:-

	2014/15	2015/16	2016/17	2016/17 Balances as % of 2016/17 Budget Share	Increase/ Decrease from 2015/16	Increase/ Decrease from 2015/16
	£	£	£	%	£	%
Primary	8,846,489	10,017,148	8,041,411	6.7	-1,975,737	19.72
Secondary	-1,072,086	-1,794,414	-1,911,754	1.6	-	6.54
Special	709,194	401,528	270,462	0.23	-131,066	32.64
	8,483,597	8,624,261	6,400,119	5.34	2,224,143	25.79*

*NB: this represents the total percentage decrease in all schools balances between 2015/16 and 2016/17

5. Consideration of net revenue balances obscures the underlying trend of gross revenue surplus and deficit balances. For transparency, the gross balances are identified below:

	Surplus balance			Deficit balance		
	2014/15 £	2015/16 £	2016/17 £	2014/15 £	2015/16 £	2016/17 £
Primary	9,276,952	10,414,634	8,693,506	-430,463	-397,486	-652,095
Secondary	767,313	973,445	1,020,995	-1,839,399	-2,767,859	-2,932,749
Special	709,194	401,528	270,462	0	0	0
Total	10,753,459	11,789,607	9,984,963	-2,269,862	-3,165,346	-3,584,844

6. Appendices attached to this report further analyse the overall position on schools' revenue balances as follows:
 - i) *Appendix 1* analyses the 2016/17 revenue balances to categorize those that are above limit, reasonable or a deficit.
 - ii) *Appendix 2* ascertains whether the Authority would trigger an investigation from the DfE, as described in paragraph 8(a), by identifying those schools that have had revenue balances in excess of 15% for the last 5 years.
 - iii) *Appendix 3* further analyses surplus revenue balances in excess of 5% or 8%, for secondary or primary/special schools respectively.
 - iv) *Appendix 4* ascertains whether the Authority would trigger an investigation from the DfE, as described in paragraph 8(b), by identifying those schools that have had a deficit balance in excess of 2.5% for the last four years.
 - v) *Appendix 5* compares planned revenue deficits against final outturn.
7. The DfE withdrew the requirement for local authorities to have a claw back mechanism in place with effect from April 2011. In response, Schools Forum agreed to remove the Wiltshire Council mechanism, the Controls on Surplus Balances Scheme, from 2013/14. This operated with permissible thresholds of 5% and 8% for secondary and primary/special schools respectively.
8. In April 2012, the DfE published a consultation on strengthening the assurance system for financial management in local authority maintained schools. The proposals were designed to help ensure that authorities, and their schools, were managing the vast sums of public money that they receive with propriety, securing value for money across all spending.

Having considered the responses, the DfE confirmed that from 2011/12 they would be asking local authorities to provide additional information, including:

- a) Where the authority has 5% of schools that have had a surplus of 15% or more for the last 5 years and their individual surplus must have been at least £10,000 each year. Authorities will only be asked for more information where at least three schools meet the criteria
 - b) Where the authority has 2.5% of its schools that have been in deficit of 2.5% or more for the last 4 years and their individual deficit must have been at least £10,000 each year. Authorities will only be asked for more information where at least three schools meet the criteria
9. Following the withdrawal of the Controls on Surplus Balances Scheme from 2013/14, Schools Forum considered how best to enable the Local Authority to fulfil its key role in supporting and challenging schools on excessive surplus balances. At their meeting on 16th January 2015, it was agreed that to maintain a balance between encouraging prudent financial management whilst also embracing the DfE's guidance that schools should operate autonomously, schools would be presented with an annual School Financial Management Information Statement at year end which would offer an analysis of the key areas of revenue balances and budget monitoring. For those schools whose revenue balances had exceeded the 5% or 8% thresholds in 2015/16, assurance would be sought that the Statement had been considered by the responsible officer and governing body.

Schools Forum agreed at their meeting on 6th October 2016 to raise the profile of the School Financial Management Statement by incorporating it in the Wiltshire Scheme for

Financing Schools and also including it as part of the compliance process. Assurance is now sought from all schools that the Statement has been considered by the responsible officer and governing body.

10. When considering individual revenue balances, the underlying factors and causes generating or reducing balances need to be taken into consideration. Factors which may skew any analysis include:
 - i) Academies – year on year analyses of total revenue balance data should be discounted to reflect the effect of academy conversions in order to compare on a like for like basis.
 - ii) Formula Capital – schools receive significantly reduced Devolved Formula Capital allocations when compared to historic levels of funding and may retain revenue balances in support of planned capital projects.
 - iii) Pupil Premium and PE grant– these do not have to be spent in year and some, or all, may be carried forward to future financial years.

Key issues

11. The net revenue balances now stand at £6.4 million, a decrease of 25.79% when compared with the 2015/16 net revenue balance of £8.624 million. An analysis of the gross revenue surplus balances reveals that, having grown each year from 2012/13, there is now a downward trend with a decrease of 15.31% being recorded from 2015/16 to 2016/17. Deficit balances have continued to grow from 2012/13 with an increase of 13.25% recorded from 2015/16 to 2016/17.
12. The number of schools in deficit is 17, unchanged from 2015/16. However, the total value of the deficits is £3.585 million which reflects an increase in value of £0.42 million, as detailed in paragraph 3 above.
13. Since the withdrawal of the Controls on Surplus Balances Scheme from 2013/14 the number of schools with surplus balances year on year has been recorded as follows:

NB: 2011/12 and 2012/13 have been included for reference.

	2011/12	2012/13	2013/14	2014/15	2015/16	2016/17
≥ 5% for secondary and 8% for primary	46	38	61	82	99	75
≥ 15%	7	7	14	26	36	27

14. Appendix 2 informs that 2 schools have had revenue balances in excess of 15% for each of the last five years. This equates to 1.3% of Wiltshire Schools as at March 2017 and would not trigger further enquiry from the DfE as described in paragraph 8(a).
15. Appendix 4 informs that 5 schools have been in deficit of 2.5% or more for each of the last four years. This equates to 3.3% of Wiltshire Schools as at March 2017 and could, potentially, trigger further enquiry from the DfE as described in paragraph 8(b).
16. The School Financial Management Information Statement was presented to schools for the 2015/16 financial year and assurance was sought that the Statement had been considered by the responsible officer and governing body. 130 of 157 schools responded and, of these, 18 included comments in support.

17. Appendix 5 indicates that 13 of the 17 schools in deficit had predicted a year end deficit.
18. As schools convert to academy status there is an impact on the value of balances held by schools and recorded in the Local Authority's accounts. Converter and sponsored academies are able to take any accumulated capital and revenue balances with them with the exception of those schools closed through statutory processes or the Secretary of State issuing an academy order in respect of the school being eligible for intervention.
19. Deficit balances, unlike surplus balances, are not covered in the same way by legislation. For a converter academy, the local authority is reimbursed the value of the deficit with the money being recovered via abatement of the academy's General Annual Grant. In the case of a sponsored academy, the deficit remains with the local authority to be funded from its core budget.

Recommendations

20. Schools Forum members are invited to comment on this report.

Carolyn Godfrey
Corporate Director

Report Author: Jane Ralph
School Strategic Financial Management Adviser
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Analysis of planned revenue deficits and final outturn

DfE No.	School Name	Budget Template 2016/17		Income & Expenditure Forecast at Dec 2016		Revenue Actual 2016/17		Predicted & ended in deficit	Predicted a surplus/balanced budget & ended in deficit	Notes
2004	Greentrees		£150,299		£125,152		£108,167	✓		
2170	Grove	£45,190			-		£94,111		✓	Dec I&E not received
3017	Longford		£136,357		£128,722		£122,310	✓		
3199	Winsley		£9,935		£7,383		£2,642	✓		
3205	Sambourne		£49,599		£31,601		£23,278	✓		
3222	Market Lavington St. Barnabas'		£40,086		£50,407		£44,559	✓		
3229	Combe Bissett	£1,001			£4,023		£11,437		✓	
3318	Chilton foliat		£35,141		-		£41,424	✓		Dec I&E not received
3372	New Forest	£2,471		£8,299			£9,637		✓	
3383	Sarum St Paul's		£43,126		£40,426		£42,779	✓		
3460	Alderbury & West Grinstead	£2,689			£13,622		£22,792		✓	
3462	Amesbury Archer		£115,109		£115,594		£67,750	✓		
3471	Lyneham		£132,340		£115,962		£61,209	✓		
4000	Abbeyfields		£2,029,866		£2,000,563		£1,916,116	✓		
4001	Wyvern College		£492,094		£416,723		£379,513	✓		
4006	Trafalgar		£250,011		£249,306		£199,175	✓		
4070	Amesbury Stonehenge		£587,171		£497,156		£437,946	✓		
Total value of deficits			£4,071,134		£3,796,640		£3,584,845			
Number of Deficits			0		0		0	13	4	

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Analysis of schools that have had revenue balances in excess of 15% of their total school budget share (excluding Pupil Premium Grant) in the last 5 years

DfE No	School Name	Type	2012/13 %	2013/14 %	2014/15 %	2015/16 %	2016/17 %	2016/17	
								Committed	Uncommitted
2003	Fynamore	Primary	-	15.0	17.3	18.6	15.6	£2,313	£221,563
2008	Fitzmaurice	Primary	-	-	18.2	19.4	-	-	-
2022	Ivy Lane	Primary	-	-	16.0	-	-	-	-
2023	St Paul's	Primary	17.3	-	-	16.6	15.9	£20,206	£115,799
2029	Lypiatt	Primary	-	-	31.5	48.4	34.5	£36,438	£12,895
2136	Westbury Infants	Primary	-	-	-	16.5	15.1	£3,821	£105,335
2162	Noremarsh Junior	Primary	-	-	-	-	15.2	£7,240	£98,165
2168	Priestley	Primary	-	-	-	17.4	16.0	£13,707	£112,559
2191	Manor Fields	Primary	-	-	16.9	19.7	19.9	£8,748	£178,107
2198	Ludwell	Primary	-	-	18.0	17.9	19.8	£13,340	£51,748
3013	Box Primary	Primary	23.7	35.6	48.0	31.9	34.2	£83,265	£144,290
3018	Broad Hinton	Primary	-	-	-	-	16.7	£2,486	£65,887
3020	St Nicholas CE VC	Primary	-	15.5	21.9	17.6	-	-	-
3023	St Katharine's	Primary	-	-	-	16.3	-	-	-
3035	Cherhill	Primary	-	-	-	-	17.5	£2,623	£119,920
3036	Chirton	Primary	-	-	-	21.4	23.5	£7,393	£63,792
3045	St Sampson's	Primary	-	-	-	19.7	-	-	-
3047	Crockerton	Primary	-	-	20.5	20.6	16.5	£0	£64,462
3049	Collingbourne CE	Primary	-	15.9	20.3	24.9	26.9	£461	£126,947
3091	Hullavington	Primary	-	-	-	-	18.2	£12,807	£80,516
3096	Kington St Michael CE	Primary	-	15.8	19.9	-	-	-	-
3150	St Mary's CE	Primary	-	-	15.6	18.5	-	-	-
3166	Southwick CE	Primary	-	-	16.0	22.5	21.5	£19,358	£125,751
3186	Urchfont CE	Primary	-	-	17.2	18.0	29.1	£2,985	£128,565
3190	St John's CE	Primary	19.6	18.5	22.0	17.6	-	-	-
3191	The Minster CE	Primary	-	-	17.8	17.7	-	-	-
3243	Great Bedwyn	Primary	-	-	-	21.1	17.8	£27,336	£105,659
3308	Bishop Cannings	Primary	-	-	-	18.0	-	-	-
3355	St Nicholas	Primary	-	-	-	20.8	23.6	£0	£107,829
3381	Rushall CE VA	Primary	-	18.8	27.7	29.3	23.1	£5,584	£94,321
3387	St Martin's CE	Primary	-	17.6	17.3	21.5	18.3	£8,941	£129,552
3402	Whiteparish	Primary	-	-	-	15.8	19.5	£0	£101,390
3418	St Joseph's Catholic	Primary	-	-	20.0	-	-	-	-
3435	Wardour	Primary	-	-	-	15.2	-	-	-

DfE No	School Name	Type	2012/13 %	2013/14 %	2014/15 %	2015/16 %	2016/17 %	2016/17	
								Committed	Uncommitted
3467	Churchfields	Primary	-	-	-	15.4	17.4	£2,994	£106,024
3470	Wilton & Barford CE	Primary	-	16.2	22.1	23.5	16.8	£70,000	£40,858
3472	Bellefield	Primary	16.8	16.6	15.2	-	-	-	-
5206	Studley Green	Primary	-	-	22.0	28.3	29.1	£21,552	£298,224
5219	Clarendon Infants	Primary	17.6	23.6	18.3	22.1	21.0	£3,325	£219,178
5415	Matravers	Primary	-	-	-	-	19.4	£210,723	£620,825
7007	Downland School	Special	25.3	25.8	16.4	-	-	-	-
7009	St Nicholas	Special	-	-	16.6	29.7	-	-	-
Total number schools			7	14	26	36	27		

Analysis of secondary and primary/special schools that have had revenue balances in excess of 5% and 8% respectively, within the last 5 years.

NB:

calculated as a % of School Budget Share and excluding Pupil Premium Grant

DfE No	School Name	Type	2012/13	2013/14	2014/15	2015/16	2016/17
2003	Fynamore	Primary	✓	✓	✓	✓	✓
2005	Nursted	Primary	✓		✓	✓	✓
2008	Fitzmaurice	Primary		✓	✓	✓	✓
2009	Bratton	Primary				✓	
2022	Ivy Lane	Primary		✓	✓	✓	✓
2023	St Paul's	Primary	✓	✓	✓	✓	✓
2027	Marlborough St Mary's	Primary					✓
2029	Lypiatt	Primary	✓		✓	✓	✓
2034	Monkton Park	Primary			✓	✓	✓
2045	Gomeldon	Primary		✓	✓	✓	
2052	Hilmarton	Primary				✓	✓
2053	Horningsham	Primary	✓	✓	✓	✓	
2060	Luckington	Primary			✓	✓	
2065	Larkhill	Primary		✓	✓	✓	
2086	Stanton St Quintin	Primary			✓		✓
2087	Ramsbury	Primary	✓		✓	✓	
2091	Harnham Infants	Primary		✓	✓	✓	
2134	New Close	Primary				✓	✓
2136	Westbury Infants	Primary		✓	✓	✓	✓
2137	Westwood-with-Iford	Primary				✓	✓
2140	Wootton Bassett Infants	Primary	✓	✓	✓		
2159	Kiwi	Primary				✓	
2162	Noremarsh	Primary	✓	✓	✓	✓	✓
2168	Priestley	Primary			✓	✓	✓
2178	Princecroft	Primary			✓	✓	
2180	Redland	Primary			✓	✓	
2185	Mere	Primary				✓	
2190	Woodlands	Primary			✓		
2191	Manor Fields	Primary	✓	✓	✓	✓	✓
2196	Holbrook	Primary	✓				
2198	Ludwell	Primary	✓	✓	✓	✓	✓
2218	Kings Lodge	Primary	✓				
2222	Walwayne Court	Primary	✓			✓	✓
2225	Bitham Brook	Primary					✓
2226	Charter	Primary	✓	✓	✓	✓	✓
3002	Ashton Keynes	Primary			✓		
3013	Box Primary	Primary	✓	✓	✓	✓	✓
3015	Christ Church	Primary				✓	✓
3018	Broad Hinton	Primary		✓	✓	✓	✓
3019	Broad Town	Primary			✓	✓	✓
3020	St Nicholas, Chippenham	Primary	✓	✓	✓	✓	✓
3023	St Katharine's	Primary		✓	✓	✓	✓
3035	Cherhill	Primary				✓	✓
3036	Chirton	Primary				✓	✓

DfE No	School Name	Type	2012/13	2013/14	2014/15	2015/16	2016/17
3045	St Sampson's Junior	Primary	✓		✓	✓	✓
3047	Crockerton	Primary	✓	✓	✓	✓	✓
3049	Collingbourne	Primary	✓	✓	✓	✓	✓
3063	Durrington Junior	Primary				✓	
3086	Heddington	Primary		✓	✓	✓	✓
3088	Hilperton	Primary			✓	✓	✓
3090	Holt	Primary	✓		✓		
3091	Hullavington	Primary	✓	✓	✓	✓	✓
3096	Kington St Michael	Primary	✓	✓	✓	✓	✓
3100	Lacock	Primary		✓	✓	✓	
3102	Langley Fitzurse	Primary			✓	✓	
3104	Lea and Garsdon	Primary	✓	✓	✓	✓	✓
3134	Newton Tony	Primary				✓	
3135	North Bradley	Primary				✓	
3149	Preshute	Primary		✓	✓	✓	✓
3150	St Mary's, Purton	Primary			✓	✓	✓
3158	Harnham Junior	Primary	✓		✓		
3161	Shalbourne	Primary		✓	✓	✓	✓
3163	Sherston	Primary	✓	✓			
3166	Southwick	Primary		✓	✓	✓	✓
3170	Staverton	Primary					✓
3172	Stratford Sub Castle	Primary			✓		
3174	Sutton Veny	Primary				✓	
3186	Urchfont Church of England	Primary		✓	✓	✓	✓
3190	St John's, Warminster	Primary	✓	✓	✓	✓	✓
3191	The Minster	Primary		✓	✓	✓	✓
3201	Winterbourne Earls	Primary			✓	✓	✓
3220	Minety Church of England	Primary			✓	✓	
3230	Dinton	Primary					✓
3239	St Johns	Primary				✓	
3242	Brinkworth Earl Danby's	Primary			✓	✓	✓
3243	Great Bedwyn Church of England	Primary	✓	✓	✓	✓	✓
3306	Baydon St Nicholas	Primary				✓	✓
3308	Bishops Cannings	Primary		✓	✓	✓	✓
3316	Chapmanslade Church of England	Primary	✓				
3330	Derry Hill	Primary		✓	✓	✓	✓
3355	St Nicholas	Primary			✓	✓	✓
3362	St Andrews	Primary				✓	✓
3372	New Forest	Primary	✓				
3381	Rushall Church of England	Primary		✓	✓	✓	✓
3387	St Martin's Church of England	Primary	✓	✓	✓	✓	✓
3396	St Thomas a Beckett	Primary		✓	✓		
3402	Whiteparish	Primary		✓	✓	✓	✓
3405	Winterslow	Primary		✓	✓	✓	✓
3406	Woodborough	Primary				✓	
3418	St Joseph's	Primary		✓	✓	✓	✓
3430	St John's Trowbridge	Primary				✓	
3435	Wardour	Primary			✓	✓	

DfE No	School Name	Type	2012/13	2013/14	2014/15	2015/16	2016/17
3437	St Patrick's	Primary				✓	✓
3448	Bemerton	Primary		✓	✓		
3449	Broad Chalke	Primary		✓	✓	✓	✓
3450	Great Wishford	Primary				✓	✓
3453	Chilmark	Primary	✓	✓	✓		✓
3454	Semley	Primary				✓	✓
3461	Kennet Valley Church of England	Primary				✓	
3464	Old Sarum	Primary				✓	✓
3465	Wylve Valley	Primary		✓		✓	
3467	Churchfields The Village School	Primary		✓	✓	✓	✓
3469	Five Lanes	Primary			✓		
3470	Wilton and Barford	Primary		✓	✓	✓	✓
3472	Bellefield	Primary	✓	✓	✓	✓	
4610	St Joseph's	Primary			✓	✓	✓
5205	Frogwell	Primary				✓	
5206	Studley Green	Primary	✓	✓	✓	✓	✓
5212	Sutton Bengier	Primary		✓	✓	✓	✓
5215	Ludgershall Castle Primary	Primary	✓	✓		✓	✓
5216	Pitton	Primary					✓
5218	Clarendon Juniors	Primary	✓	✓			
5219	Clarendon Infants	Primary	✓	✓	✓	✓	✓
5415	Matravers	Secondary				✓	✓
7002	Rowdeford	Special		✓			
7007	Downland School; Devizes	Special		✓	✓		✓
7009	St Nicholas, Chippenham	Special		✓	✓	✓	✓
7010	Larkrise School, Trowbridge	Special		✓	✓	✓	
Total number schools			36	57	78	94	75

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Analysis of schools that have been in a deficit position in the last four years, i.e. 2013/14 to 2016/17

DfE No.	School	Type	2013/14		2014/15		2015/16		2016/17	
			Deficit £	As a % of SBS	Deficit £	As a % of SBS	Deficit £	As a % of SBS	Deficit £	As a % of SBS
2004	Greentrees	Primary	0	-	-15,462	1.9	-33,318	3.4	-108,167	9.3
2170	Grove	Primary	0	-	0	-	-16,301	1.2	-94,111	7.1
3017	Longford CE	Primary	-42,257	14.6	-47,478	18.7	-110,323	48.3	-122,310	48.0
3036	Chirton	Primary	-2,386	1.4	0	-	0	-	0	-
3134	Newton Tony	Primary	-3,365	1.4	0	-	0	-	0	-
3140	Oaksey CE VA	Primary	0	-	-2,834	0.87	0	-	0	-
3192	Westbury CE Junior	Primary	-25,803	2.9	-12,292	1.39	0	-	0	-
3199	Winsley	Primary	0	-	0	-	0	-	-2,642	0.5
3205	Warminster Sambourne	Primary	0	-	0	-	-17,215	3.2	-23,278	4.2
3222	St. Barnabas	Primary	-18,107	5	-22,129	7	-11,992	3.8	-44,559	14.5
3229	Coombe Bissett	Primary	0	-	0	-	0	-	-11,437	2.9
3318	Chilton Foliat CE	Primary	0	-	-11,168	3.86	-2,956	1.0	-41,424	12.6
3372	The New Forest	Primary	0	-	0	-	0	-	-9,637	1.4
3383	Sarum St Paul's	Primary	0	-	0	-	-3,482	0.4	-42,779	5.5
3460	Alderbury & West Grinstead	Primary	0	-	0	-	0	-	-22,792	3.6
3462	Amesbury Archer	Primary	-30,281	3.3	-117,654	10.91	-79,707	6.5	-67,750	5.5
3464	Old Sarum	Primary	0	-	-23	0	0	-	0	-
3468	Amesbury Primary	Primary	0	-	-39,635	3.74	-50,877	4.4	0	-
3471	Lyneham Primary	Primary	-13,258	1.4	-87,548	9.6	-26,556	3.3	-61,209	6.0
4000	Abbeyfield	Secondary	-330,954	7.8	-687,773	18.0	-1,199,023	31.7	-1,916,116	48.5
4001	Wyvern College	Secondary	-86,653	4.7	-146,267	8.5	-211,195	13.3	-379,513	24.9
4006	Trafalgar	Secondary	0	-	-57,133	2.2	-40,115	1.5	-199,175	7.7
4070	Stonehenge	Secondary	-36,238	1.1	-120,344	4.0	-283,947	9.4	-437,946	14.9
5200	Aloeric	Primary	0	-	-10,064	0.92	0	-	0	-
Total value of deficits			-589,302		-1,377,804		-2,087,007		-3,584,845	

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School & SEN Funding Working Groups

5th October 2017

Funding Factors – Trade Union Facilities Time

Purpose of Report

1. To provide details of the current Trade Union Facilities Time Agreement for Wiltshire Schools and set out a proposal for the funding of time for the professional associations in representing their members.

Background

2. The facility time agreement defines the rights to time-off and facilities for the Trade Unions and Professional Association Officials in the course of their union duties within the Local Authority area of Wiltshire Council to school and academy based staff. The principles in the agreement are based primarily on the Trade Union and Labour Relations (Consolidation) Act 1992, The Employment Act 2002 and the ACAS Code of Practice on Time Off for Trade Union Duties and Activities.
3. The LA has formal recognition agreements with our recognised teachers' Trade Unions and Professional Associations and support staff unions to enable schools and academies to claim backfill costs where accredited representatives undertake facilities duties, e.g. negotiation, consultation and support for their members such as Redundancy /Restructures/ Disciplinary, Grievance and Capability Hearings.
4. The recognition agreements set out the arrangements for the continuance of the positive management of relations between the LA and the recognised Trade Unions and Professional Associations.
5. The agreements clarify trade union recognition and representation for teaching staff within the schools and academies and establish an agreed framework for consultation and collective bargaining. The table below sets out the current Trade Union official bodies;

Body <ul style="list-style-type: none">- NEU (former NUT)- NASUWT- ASCL- NEU (former ATL)- NAHT
--

Requirement for Facility Time

The Trade Union Facility time agreement in conjunction with the recognition agreement and Schools and Council Joint Consultative Committee (JCC) Constitution enables Head teachers, Governors and their specialist support services to progress all matters that require consultation or negotiation efficiently and effectively.

6. The following activities crucially require trade union representatives to be available for meetings and consultation at short notice. The ability for schools or academies to claim backfill costs to enable the release of trade union representatives enables schools and academies to deliver these significant projects within their timescales and budget;
 - TUPE transfer process for schools converting to academy status
 - Organisational restructures and reductions in staffing numbers
 - Changes to staff terms & conditions
 - Development and changes to employment policies & procedures
 - Collective negotiation on behalf of Wiltshire schools on all employment matters
 - Individual employee performance management and complaint resolution e.g. dealing with grievance, capability, disciplinary or absence management matters
7. The current mechanism for agreeing Schools HR Policies and Procedures requires Schools HR Advisory to consult and discuss proposed new model policies and procedures with the County Secretaries (ATL, NUT, NASUWT, ASCL and NAHT) and also the Council Staffing policy committee (Unison, GMB, Unite). Once agreement is achieved the proposed models go to the Schools and Council JCCs and once endorsed are released for adoption.

Facility time during financial year 2016-17 and 2017-18

8. For a number of years, the total budget for the claim of backfill for the release of Trade Union officials has been £50,000, to cover both maintained schools and academies. The contribution from maintained schools has been taken through the de-delegation process whereas for academies, the contribution has been recovered through annual invoicing.
9. During the 2016-17 financial year, the budget was overspent by £4,789, which has to be recovered from within the DSG. If the current Trade Union arrangement that is currently in place is to remain viable, it needs to be appropriately funded.
10. Based upon projections for the current 2017-18 financial year, the current £50,000 budget is will be overspent by £6,930.

Proposal

11. With the understanding that the LA and the other associated employers in Wiltshire schools and academies agree to continue to support the concept of county-wide representation by the teacher and support staff unions and professional association, ensure the appropriate level of funding of a central Facility time budget to meet legal obligations under Trade Union and Labour Relations (Consolidation) Act 1992, The Employment Act 2002 and the ACAS Code of Practice on Time Off for Trade Union Duties and Activities.

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Wiltshire Council

Schools Forum
5th October 2017

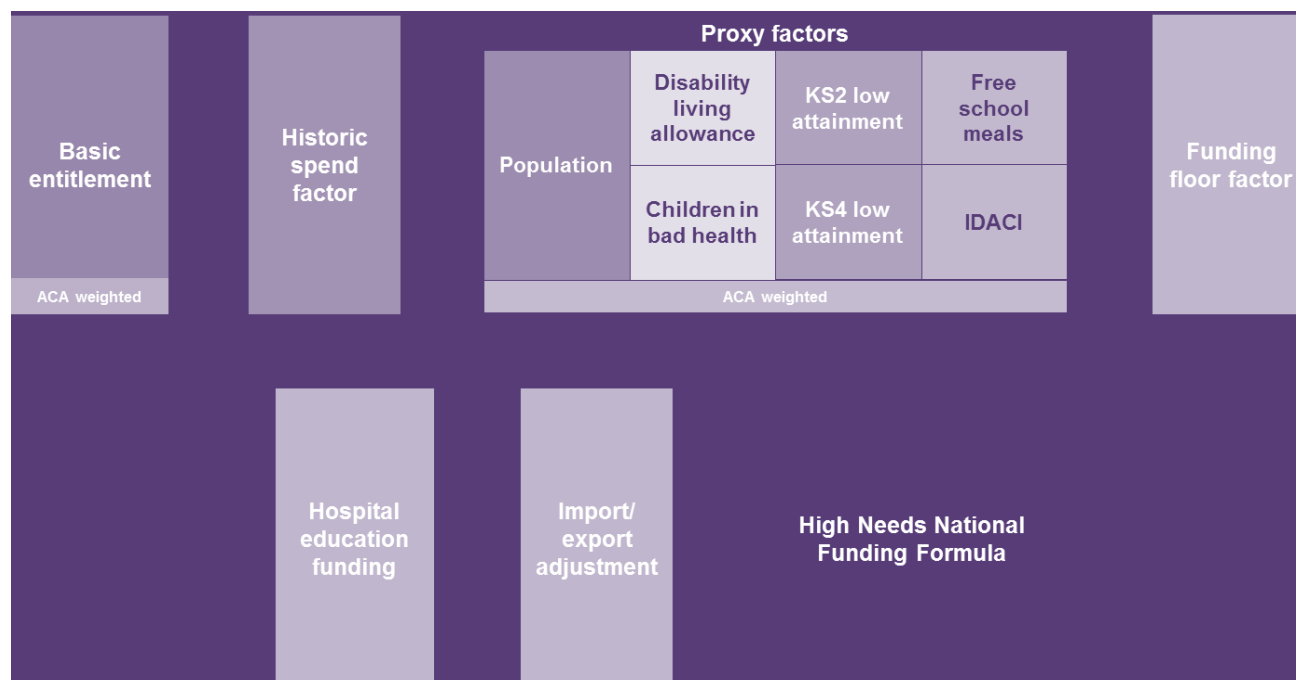
The National Funding Formula for High Needs

Purpose of the Paper

1. To report on the government's proposals for high needs funding in 2018-19 and 2019-20 and potential implications for Wiltshire.

Main Considerations

2. The government issued its policy document on a national funding formula for schools and high needs on 14th September 2017. The documents can be accessed [on-line](#) via the government website.
3. The policy document outlines the government's intention to allocate funding for high needs via a national formula rather than the current methodology which is based on historical spend patterns.
4. Whilst the proposals broadly reflect the options consulted on earlier in the year a number of changes have been made to incorporate the additional funding that has now been agreed to support the implementation of the national funding formula for schools and high needs. According to the document the impact of the increase in funding will be that:
 - LAs that are under-funded will see gains of up to 3% per year in each of 2018-19 and 2019-20, and,
 - LAs will be protected from losing funding under the formula and will instead see minimum increases of 0.5% per head in 2018-19 and 1% per head in 2019-20, compared with planned spend in 2017-18.
5. Wiltshire falls in to the second of those two categories.
6. The factors and calculations in the national high needs formula are as follows:



7. Each of the above factors are added together to give the high needs funding formula. Key elements of the formula are as follows:
- Basic entitlement** – each LA will receive an amount of £4,000 for each pupil in a special school. This will be driven by the October census in the same way as pupil data for the mainstream formula
 - Historic spend factor** – 50% of funding will be allocated on the basis of historic spend. The baseline for this will be the 2017-18 planned spend. This is helpful to Wiltshire as the baseline takes in to account the additional £1.7m transferred from central schools block to high needs in 2017-18.
 - Proxy factors** – these will be calculated using measures of deprivation, low attainment, children in bad health, and disability allowance.
 - Area cost adjustment** – to reflect areas with higher costs. Wiltshire will receive a small area cost adjustment.
8. Further adjustments are then made as follows:
- Funding Floor** – a funding floor adjustment is applied to ensure that LAs do not lose funding through implementation of the formula. The floor has been set so that LAs who would otherwise lose out will receive an uplift of 0.5% in 2018-19. The floor has also been adjusted to reflect a minimum level of funding per pupil so that population increases can also be taken in to account.
 - Import/Export Adjustment** – this adjustment is designed to reflect higher costs in areas that attract more pupils from other areas and lower costs in those councils that “export” pupils to other areas. This adjustment will be calculated using census data for the numbers of pupils who attract top up funding,

comparing the numbers occupying places in an authority area compared with the numbers that the LA pays for the core place for. A positive or negative adjustment of £6,000 is made for each unit of difference. Wiltshire is a net exporter and therefore will have a negative adjustment to its high needs block funding.

- c) **Transfer to schools block** for core funding for pupils in resource bases/ELP as these pupils will now receive AWPU funding through the mainstream formula and places will be funded at £6,000 per place as opposed to £10,000.

Impact on Funding For Wiltshire

- 9. Wiltshire will be on the funding floor for the new high needs formula.
- 10. Estimated high needs funding for 2018-19 is £45.020 million. This reflects the 2017-18 baseline, the transfer of £2.368m back into schools block for AWPU funding, and estimated values for the basic entitlement and import/export adjustment. It is currently assumed that Wiltshire “exports” 232 pupils and the reduction in funding is £1.392m. Whilst the historic spend element and the element calculated through proxy indicators are now confirmed for 2018-19 the factors for the basic entitlement and the import/export factor will be updated following the October pupil census.
- 11. Planned high needs spend in 2017-18 is £47.070m. If this is adjusted for the transfer of £2.368m back to schools block then current planned spend is £44.702m for comparison.
- 12. As outlined in the report on the National Funding Formula for schools, there is a level of flexibility to allow LAs to move funding from schools in to the high needs block. LAs will be able to move up to 0.5% of their schools block funding in to the high needs block with the agreement of their schools forum. The Operational Guidance for 2018-19 states that all schools must be consulted before schools forum makes the decision and therefore any decision to consult schools will need to be made at this meeting. Any decision to transfer funding in this way needs to be taken in the context of the LA’s strategic approach to the planning of future provision for children & young people with SEND. It will also be necessary to meet the minimum requirements for levels of pupil led funding within the mainstream formula. It is not clear whether this flexibility will continue in to future years.

Proposals

- 13. Schools Forum is asked to note the content of this report

Report Author: Liz Williams, Head of Finance

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The National Funding Formula for Central School Services

Purpose of the Paper

1. To report on the government's proposals for central schools services block funding in 2018-19 and 2019-20 and potential implications for Wiltshire.

Main Considerations

2. The government issued its policy document on a national funding formula for schools and high needs on 14th September 2017. The documents can be accessed [on-line](#) via the government website.
3. The policy document outlines the government's intention to allocate funding for schools through 4 Dedicated Schools Grant (DSG) blocks. This includes a new central schools services block (CSSB) which will comprise funding for ongoing responsibilities and a cash sum for historic commitments.
4. The CSSB is to be created from two existing funding streams: the DSG funding that is held centrally by the LA for central services, and the retained duties element of the Education Services Grant (ESG), which is funding for duties which LAs hold in respect of all schools.
5. The formula for the ongoing responsibilities element of the CSSB will be as proposed in the second stage consultation ie., 90% of funding according to a per pupil factor and 10% according to a deprivation factor. Both elements are adjusted for area costs.
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7. The operational guidance for 2018-19 lays out the retained duties that may be funded from the CSSB and also the decision making process for centrally retained services. As for 2017-18 many elements of the CSSB will require approval by Schools Forum on a line by line basis and in the case of funding for historic commitments Schools Forum will need to consider the evidence for each commitment.
8. Because of the wide range in current expenditure for ongoing responsibilities across different LAs there will be a transition to the new formula with gains and losses capped each year. In 2018-19 and 2019-20 reductions will be limited to 2.5% per pupil per year. In 2018-19 gains of up to 2.5% per pupil will be allowed. Funding for historic commitments will be based on actual cost and will cease as commitments end, therefore there is no protection for this element of the CSSB.

Impact on Funding For Wiltshire

9. Without transitional arrangements Wiltshire would gain 3.6% compared with the 2017-18 baseline. This will be limited to 1.9% overall after transitional arrangements are applied. The estimated CSSB for Wiltshire in 2018-19, based on October 2016 pupil numbers, is £2.497m compared with a 2017-18 baseline of £2.450m.

Proposals

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Wiltshire Council

Schools Forum
5th October 2017

The National Funding Formula for Schools and High Needs and Operational Guidance for Schools Revenue Funding 2018-19

Purpose of the Paper

1. To report on the government's proposals for a national funding formula for schools and high needs from 2018-19.
2. To identify the key issues to be considered by Schools Forum in agreeing a local funding formula for 2018-19 and the next steps to be taken over the autumn for the work on the formula to be undertaken.

Background

3. The government issued a [policy document](#) on a national funding formula for schools and high needs on 14th September 2017. The government also issued the [Operational Guidance](#) for schools revenue funding in 2018-19 on 4th August 2017. Local Authorities will use the operational guidance in the setting of local formulae for 2018-19.
4. The main features of the national funding formula (NFF) for schools and high needs are outlined in the following 3 reports on this agenda. Schools Forum may want to consider those reports before determining the way forward for setting a local formula for 2018-19.
5. For 2018-19 and beyond the structure of the Dedicated Schools Grant (DSG) is to change with the introduction of a 4th block: the central schools services block. The central schools services block will fund local authorities (LAs) for the statutory duties that they hold for both maintained schools and academy. Further detail is provided in the paper on the national formula for central schools services on this agenda.
6. The 4 DSG blocks will therefore be:
 - Schools block
 - Central Schools Services block
 - High needs block
 - Early years block
7. For the first time, the funding that LAs receive for each block will be determined by a specific national funding formula. The early years block was distributed via a national formula in 2017-18 and no changes have been proposed by the government for next year.
8. This report focusses on the key issues that Schools Forum will need to consider in relation to the implementation of a national funding formula for schools (the schools block). A separate report on this agenda gives information on the key elements of the proposed national formula and a comparison of proposed funding rates with the rates currently applied in the Wiltshire formula for funding schools.

Main Considerations for Schools Forum

9. Whilst the government's long term intention is that schools' budgets will be set on the basis of a single national formula (a "hard" national funding formula) it is recognised that this would be a significant change and therefore the system allows for transition towards the hard formula. In 2018-19 and 2019-20, therefore, the schools funding system will take the approach of a "soft" formula. Under a soft formula system the Education and Skills Funding Agency (ESFA) will use the national funding formula to set notional budgets for each school. These will be aggregated to give the total schools block budget for each local authority. LAs will then continue to set a local formula to distribute their schools block funding, in consultation with their local schools and their schools forum. Although it will be a local decision the ESFA has adjusted the rules for the setting of local formulae so that the national formula can be more closely mirrored.
10. There are a number of key criteria that will need to be reflected in the local formula and these are detailed in the separate report on the national funding formula for schools. The most important of these will be the new requirement for a minimum per-pupil funding level of £3,300 per primary pupil and £4,600 per secondary pupil in 2018-19 (increasing to £3,500 and £4,800 respectively in 2019-20). This figure takes in to account all of the pupil led funding factors within a local formula.
11. Whilst the schools block will be built on the basis of the new national funding formula for schools, there will still be limited flexibility to move funding between blocks, for example from schools block to the high needs block. Such transfers are limited to 0.5% of authorities' total schools block and to make such a transfer the LA would need to carry out consultation with all local maintained schools and academies, and the schools forum should take in to account the views of schools responding before giving their approval.
12. Any proposal to transfer funding from the schools block should be presented along with a range of evidence to back up the proposal. The operational guidance outlines what form that evidence needs to take.
13. Consultation with schools on any changes to the local formula should include a demonstration of the impact on individual schools and academies. Any modelling will use the October 2016 census data as a basis for comparison with current budgets. The ESFA will provide further technical guidance and an updated template to enable this modelling.
14. The local authority is responsible for making the final decisions on the formula and therefore the process needs to ensure that there is sufficient time to gain political approval before the due deadlines. The process of agreeing the final formula for 2018-19 will therefore need to include time for consideration by Cabinet prior to final submission of schools budgets to the ESFA on 19th January 2018.

Next Steps

15. In order to meet the required timescales and ensure we can agree a formula for 2018-19 there are a number of decisions required at the October schools forum meeting. At the time of writing this report we have yet to receive the Technical Guidance or the updated modelling tool from the ESFA to enable modelling of formula options to begin. At this stage, therefore, schools forum is being asked to agree some key principles to enable modelling to begin and consultation to be drafted. Any consultation will need to take place in the autumn

in order that proposals for the formula can be brought back to December schools forum for recommendation to Cabinet.

16. In the light of this a steer is sought from schools forum on the following:
- a) **Movement between funding blocks** – any movement between funding blocks will require consultation with all schools.
 - b) **The local formula for 2018-19** – it is proposed as a starting hypothesis that Wiltshire should look to move as close to the national formula as possible. If we take this as a starting point it will reduce the many different options/models that could be required for consideration and it is clear that the national formula is the required end point by April 2020. It is possible that we will not be able to move completely to the national formula in one go as there will need to be consideration of issues such as affordability of the growth fund (which will be funded at historic levels) and consideration of the required formula for de-delegated services (note de-delegation will need to form part of any consultation). There may be other issues that arise during the modelling process.
 - c) **Consultation** – the operational guidance requires consultation with all schools including demonstration of the impact of each option. This consultation will need to take place over the autumn terms and will need to be relatively short. We will need the data and modelling tool to be issued by the ESFA in order to produce any impact statements. A steer is sought on the consultation process but at this stage it is recommended that we have a short consultation in late October/early November and build in a number of consultation roadshow meetings to share information and support head teachers, governors and business managers in responding.

Proposals

- 17. Schools Forum is asked to give a steer on the proposed approach outlined in paragraph 16 of this report.
- 18. Schools forum is asked to decide whether a further meeting of the Schools Funding Working Group should be arranged to agree the final consultation with schools.

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Schools Forum

5th October 2017

The National Funding Formula for Schools – A Preliminary Overview

Purpose of Report

1. To provide members of the Working Groups with an overview of the key headlines from the recently published policy document – ‘The national funding formula for schools and high needs’.

Background

2. The last reforms to school funding took place in 2013-14 which simplified the number of formula factors and made school funding easier to understand. Despite simplifying the formula, it has long been recognised that additional investment in schools in Wiltshire has been required.
3. As a member of the f40 group, Wiltshire has long maintained that its schools have been under-funded and has actively participated in consultations and ministerial discussions to help achieve a fairer funding settlement.
4. During 2016, the Department for Education launched two consultations regarding a national funding formula (NFF). The first consultation focussed on the principles of a funding formula and the second consultation considered the details of a proposed formula.
5. The consultations lead to over 26,000 responses nationally. Following consideration of the responses, the DfE announced their final decisions on the funding system and the national funding formulae that will be introduced from April 2018.

Structure of Funding

6. The changes announced all have an impact upon the dedicated schools grant (DSG) but not on the PE grant or UIFSM grant or other grant funding for schools.
7. The DSG will in the future comprise 4 blocks as below;
 - Early Years block
 - Schools block
 - High Needs block
 - Central schools services block (new block)
8. The new central schools services block will fund LA's for the statutory duties which they are required to perform for both maintained schools and academies. This will include funding for
 - Ongoing responsibilities such as admissions
 - Duties previously funded through the retained element of the ESG
 - Residual funding for historical commitments

How will Wiltshire be funded?

9. All LA's will be funded on the block allocations as defined by the NFF. Therefore Wiltshire will receive funding for its schools, on the basis of the NFF. Early Years funding is already allocated on the basis of a NFF, but this marks a change for schools, high needs and the central services blocks.
10. For the two years 2018-19 and 2019-20, there will remain the 'soft' formula. This will enable our Wiltshire Schools Forum to set a formula which will determine the distribution of funding for schools.
11. Therefore, whilst Wiltshire will be funded on the basis of the NFF, it will still be the role of the local Schools Forum to determine the appropriate distribution for the next two financial years. Details of the 'hard' formula for 2020-21 and beyond have not been published

Moving funding between blocks

12. The NFF has confirmed that schools funding will be ring-fenced from 2018-19 and therefore LA's must pass on to schools all of the funding directly to schools. However, there will be a level of local flexibility which will enable up to 0.5% of the total schools block to be transferred to other areas. Any movements would require the express approval of the local schools forum.
13. A 0.5% transfer of the schools block would equate to £1.327m, based upon a provisional schools block allocation of £265,406,363.

Looked after child pupil premium

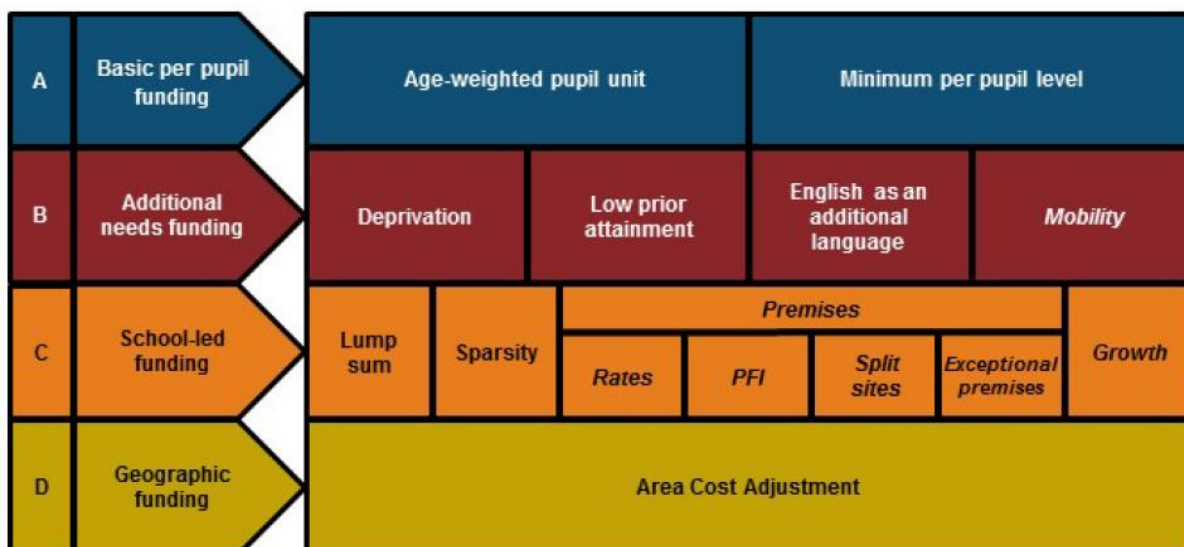
14. Under the current funding formula, there is an option for a funding factor for 'Looked after children', which Wiltshire has not used in its funding formula. The DfE have confirmed that they will no longer be funding this as a formula factor. Instead, the funding is being transferred across to the Pupil Premium budget. As a result those pupils previously being funded as looked after children or adopted from care will be funded at the rate of £2,300 from 2018-19. This represents an uplift of £400 from the current rate.

Minimum funding levels from a national formula

15. The DfE have committed to supporting schools with an additional £1.3billion over the coming 2 years. There are a number of key messages which this supports and these are listed below;
 - a) 0.5% cash increase for every pupil in 2018-19 (compared to 2017-18)
 - b) 1.0% cash increase for every pupil in 2019-20 (compared to 2017-18)
 - c) Minimum funding of £4,600 per secondary pupil in 18-19 and £4,800 in 19-20
 - d) Minimum funding of £3,300 per primary pupil in 18-19 and £3,500 in 19-20

The final national funding formula

16. The diagram below shows the 14 factors which will comprise the new NFF, which are in addition to the minimum per pupil values.



17. The new NFF will provide specific values for each factor, however those shown in italics above will be funded at the LA's historic funding rates.

18. There has been no compelling evidence to suggest that there is an appropriate primary to secondary funding ratio, however the average nationally has been derived as being 1:1.29. This ratio has been used to inform funding of the per pupil amounts.

Pupil-led funding

19. The majority of school funding will be delivered through the pupil-led factors and for the NFF this will account for 90.7% of school funding. In addition to the general AWPU and other pupil-led factors, a formula will have to ensure that the minimum funding values of £4,600 and £3,300 are awarded in 2018-19 and £4,800 and £3,500 in 2019-20 for secondary and primary schools respectively.

Additional needs funding

20. **Deprivation** – through the NFF, deprivation will be funded using two indicators, both the Free School Meals and Ever6 measures and the IDACI postcode index. In Wiltshire we had only used the FSM6 indicator so this will reflect a change of methodology.
21. **Low prior attainment** – the NFF continues to recognise the need to fund low prior attainment which is consistent with the Wiltshire funding formula. The measure in a primary school will be the Early Years Foundation Stage Profile and in secondary school will be where a pupil has not achieved the expected level at Key Stage 2.
22. **English as an additional language** – the factor for awarding funding under the NFF will apply for all pupils who have entered the state education system in any of the last 3 years. In Wiltshire, we had previously funded for 1 year only, therefore a school can expect the funding to be in place for a longer period.
23. **Mobility** – there is no nationally recognised measure of mobility and therefore funding will be allocated to LA's on the basis of historical expenditure until an appropriate formulaic method can be adopted. Wiltshire has not used this factor for funding schools.

School-led funding

24. **Lump sum** – the NFF has confirmed that there will be no differential between primary and secondary phases and that all schools will receive a flat rate of £110,000. This represents a significant gain for primary schools in Wiltshire but an even greater reduction for secondary schools.
25. **Sparsity** – this factor takes account of the size of a school coupled with the distance which the pupils in the school would have to travel to their next nearest school. Wiltshire has never supported the introduction of the sparsity factor. A number of smaller rural schools will benefit from this factor and coupled with the increase in the lump sum could see substantial increases in funding.
26. **Premises factors** (rates, split site, PFI & exceptional circumstances). Due to the individual nature of these factors, the DfE will fund the LA on the basis of the current level of expenditure for these factors.
27. **Growth** – until a more uniform model can be adopted, the LA will be funded on the basis of the expected spend for 2018-19.

Area cost adjustment

28. The area cost adjustment (ACA) is designed to reflect the labour market trends and the salary variations in the teaching workforce. The Wiltshire ACA has been calculated as 1.01078.

Funding Factors

29. The table below sets out the funding rates under the NFF and the current Wiltshire funding formula rates for comparative purposes.

Funding Factors	NFF Values	Wiltshire Values
AWPU – Primary	2,747	2,998
AWPU – KS3	3,863	3,850
AWPU – KS4	4,386	4,698
FSM – Primary	440	0
FSM – Secondary	440	0
FSM Ever6 – Primary	540	841
FSM Ever6 – Secondary	785	815
IDACI band F – Primary	200	0
IDACI band F - Secondary	290	0
IDACI band E – Primary	240	0
IDACI band E - Secondary	390	0
IDACI band D – Primary	360	0
IDACI band D - Secondary	515	0
IDACI band C – Primary	390	0
IDACI band C - Secondary	560	0
IDACI band B – Primary	420	0
IDACI band B - Secondary	600	0
IDACI band A – Primary	575	0
IDACI band A - Secondary	810	0
Low Prior Attainment - Primary	1,050	637
Low Prior Attainment – Secondary	1,550	503
EAL – Primary	515	1,480
EAL – Secondary	1,385	597
Lump Sum – Primary	110,000	85,000
Lump Sum – Secondary	110,000	175,000
Sparsity – Primary	25,000	0
Sparsity - Secondary	65,000	0

Who will see the greatest gains?

30. Initial analysis has shown that all Wiltshire schools will gain under the NFF, however in particular the following groups will see the greatest gains

- The lowest funded schools
- Schools with high levels of prior attainment
- Schools with high levels of deprivation
- Rural schools

Transition to the formula

31. During the period of transition there will be a maximum level of gain through the formula. The gains cap will be set at 3% per annum for both 2018-19 and in 2019-20. However, if a school does not achieve the minimum funding levels, then the school could exceed the 3% cap in order to meet the thresholds.
32. Local Schools Forum will continue to propose decisions regarding school funding for the next two years 2018-19 and 2019-20.

Next Steps

33. Staff at the LA will model the impact of the NFF for all schools to help with decision making for a Wiltshire Funding Formula.
34. Schools Forum will then be asked to propose a funding methodology in light of the published NFF and funding models produced by the LA.
35. Schools Forum will need to give consideration to the option of moving funding between the blocks.
36. Schools Forum are asked to note the contents of the report.

Report Author:

[Grant Davis](#), Schools Strategic Financial Support Manager, 01225 718587

Wiltshire Council

Schools Forum
5th October 2017

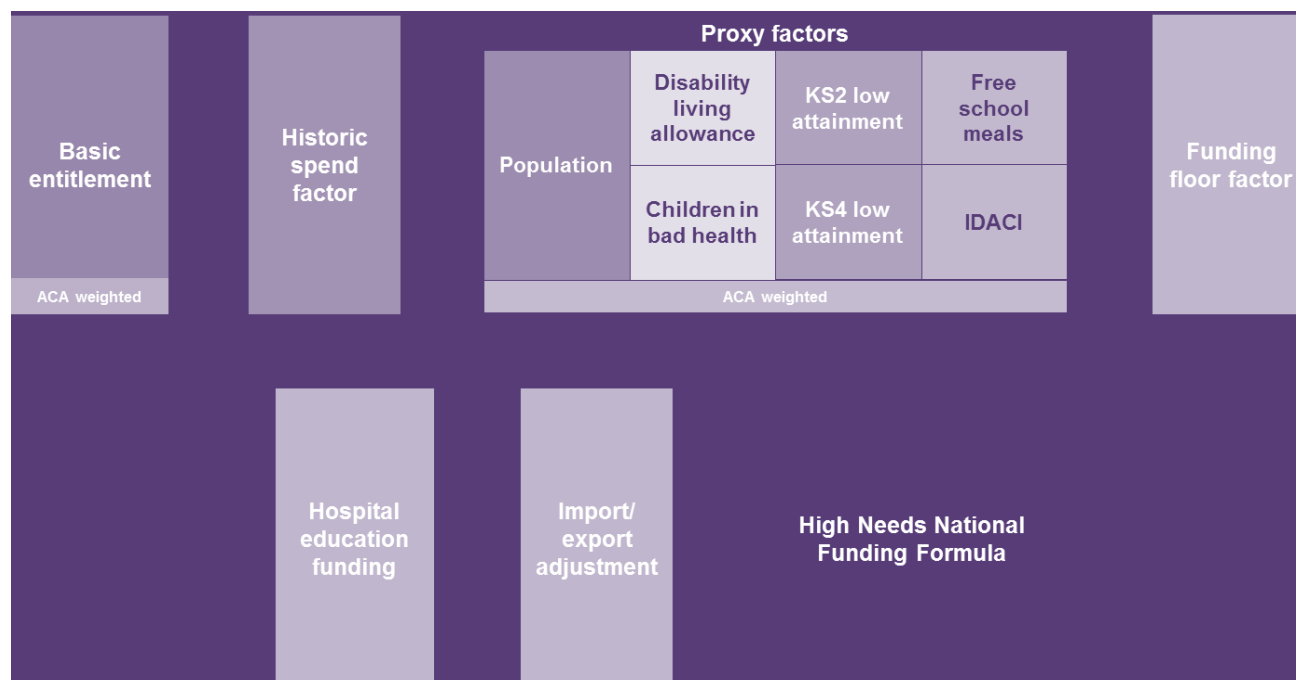
The National Funding Formula for High Needs

Purpose of the Paper

1. To report on the government's proposals for high needs funding in 2018-19 and 2019-20 and potential implications for Wiltshire.

Main Considerations

2. The government issued its policy document on a national funding formula for schools and high needs on 14th September 2017. The documents can be accessed [on-line](#) via the government website.
3. The policy document outlines the government's intention to allocate funding for high needs via a national formula rather than the current methodology which is based on historical spend patterns.
4. Whilst the proposals broadly reflect the options consulted on earlier in the year a number of changes have been made to incorporate the additional funding that has now been agreed to support the implementation of the national funding formula for schools and high needs. According to the document the impact of the increase in funding will be that:
 - LAs that are under-funded will see gains of up to 3% per year in each of 2018-19 and 2019-20, and,
 - LAs will be protected from losing funding under the formula and will instead see minimum increases of 0.5% per head in 2018-19 and 1% per head in 2019-20, compared with planned spend in 2017-18.
5. Wiltshire falls in to the second of those two categories.
6. The factors and calculations in the national high needs formula are as follows:



7. Each of the above factors are added together to give the high needs funding formula. Key elements of the formula are as follows:
- Basic entitlement** – each LA will receive an amount of £4,000 for each pupil in a special school. This will be driven by the October census in the same way as pupil data for the mainstream formula
 - Historic spend factor** – 50% of funding will be allocated on the basis of historic spend. The baseline for this will be the 2017-18 planned spend. This is helpful to Wiltshire as the baseline takes in to account the additional £1.7m transferred from central schools block to high needs in 2017-18.
 - Proxy factors** – these will be calculated using measures of deprivation, low attainment, children in bad health, and disability allowance.
 - Area cost adjustment** – to reflect areas with higher costs. Wiltshire will receive a small area cost adjustment.
8. Further adjustments are then made as follows:
- Funding Floor** – a funding floor adjustment is applied to ensure that LAs do not lose funding through implementation of the formula. The floor has been set so that LAs who would otherwise lose out will receive an uplift of 0.5% in 2018-19. The floor has also been adjusted to reflect a minimum level of funding per pupil so that population increases can also be taken in to account.
 - Import/Export Adjustment** – this adjustment is designed to reflect higher costs in areas that attract more pupils from other areas and lower costs in those councils that “export” pupils to other areas. This adjustment will be calculated using census data for the numbers of pupils who attract top up funding,

comparing the numbers occupying places in an authority area compared with the numbers that the LA pays for the core place for. A positive or negative adjustment of £6,000 is made for each unit of difference. Wiltshire is a net exporter and therefore will have a negative adjustment to its high needs block funding.

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- 12. As outlined in the report on the National Funding Formula for schools, there is a level of flexibility to allow LAs to move funding from schools in to the high needs block. LAs will be able to move up to 0.5% of their schools block funding in to the high needs block with the agreement of their schools forum. The Operational Guidance for 2018-19 states that all schools must be consulted before schools forum makes the decision and therefore any decision to consult schools will need to be made at this meeting. Any decision to transfer funding in this way needs to be taken in the context of the LA’s strategic approach to the planning of future provision for children & young people with SEND. It will also be necessary to meet the minimum requirements for levels of pupil led funding within the mainstream formula. It is not clear whether this flexibility will continue in to future years.

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Wiltshire Council

Schools Forum
5th October 2017

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Proposals

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Projecting Demand for Special School Places

Purpose of the Report

1. To update Schools Forum on the work being undertaken to understand future demand for special school places.

Main Considerations

Background

1. Schools Forum previously received a report (Analysis of Independent Provision Spend, March 9th 2017) which outlined reasons for placing children with SEN/D in the independent sector. These reasons included;
 - No locally available places
 - Tribunal loses, including those for reasons of 'distance to travel'
 - No appropriate places commissioned (notably for KS1 & KS2 ASD/ SEMH provision).
2. This report concluded by advising Schools Forum that the local authority was continuing to work with special schools to address these, and other 'demand and supply' issues through the WASSPP¹ group, and that a report would be available in May.
3. This report, drafted by an independent consultant, was ratified by the WASSPP group in September 2017. The report covers a wide range of issues, and makes recommendations for addressing short term issues of policy and practice ('Getting our house in order'), medium term issues including making the most of what we have ('Sweating the Assets') and longer term issues, including securing new provision. The report makes a central judgement;

'The current number, location and designation of special school places are not aligned to either current or future needs. Existing provision needs to be reconfigured to meet current demand, and new provision is required to meet future demand.' WASSPP report, May 2017

4. Taking the work begun in the report, the local authority has undertaken detailed demand analysis work. This report updates Schools Forum on the findings.

Demand Analysis

5. There are three key factors which are increasing the number of children and young people in Wiltshire who have Special Educational Needs (SEN)
 - The impact of reforms - the 2014 Children and Families Act and overall changes in education policy
 - Military re-basing
 - Growth in population – which can be measured by birth-rate and housing growth

¹ Made up of special school representatives, local authority officers and members, Schools Forum Chair and representation from the Parent Carer Forum

6. Since the introduction of the Children and Families Act 2014 Wiltshire has seen a steady rise in the number of EHCPs across the county. Wiltshire is now just above the national average (2.8%) of EHCPs.

Wiltshire	Percentage of children and young people with an EHCP
2014	2.5%
2015	2.6%
2016	2.7%
January 2017	2.83%
September 2017	2.9%

7. Assuming continued cumulative growth at 0.2% it is predicted that, in the 5 – 16 age range, an additional 213 children and young people will benefit from an EHCP by 2026.
- This is in addition to the 2276 who currently have an EHCP aged 5 – 16.
 - Growth for the 16 – 19 and then 19 to 25 is harder to predict as we lack the trend data to show the full impact of the 2014 legislation and the new school finishing age of 18.
8. As a result of Military re-basing, Wiltshire is anticipating that between 30 and 40 children and young people (5-16 years) from re-based families may need an EHCP.
9. Population Growth - The main growth in the Wiltshire population is due to the provision of new housing.
- 25,481 houses are expected to be built between 2017 and 2026
 - Based on the Wiltshire January rate of 2.83% and then using the same 0.2% cumulative growth identified for the existing population, it is projected that an additional 448 children and young people (5-16 years) will benefit from an EHCP by 2026.
10. Projected increase in EHCPs (for 5-16 year olds) by 2026 is estimated at 661 (on top of current 2276)
11. From this, it is estimated that 220 (5-16 year olds) will require a special school place by 2026 (on top of current ~600 in area available places).
12. Based on similar growth trends seen in recent years, the following breakdown is projected for future places;

By SEN Designation	Current placements in Wiltshire Special schools (5 – 16yrs)		2yrs (2019)			5yrs (2022)			9yrs (2026)		
	Current places North	Current places South	North	South	All new	North	South	All new	North	South	All new
ASD	111		4	9	13	24	22	46	50	40	90
SEMH	68		2	3	5	10	9	19	21	17	38
Complex	279	82	4	8	12	23	20	43	48	36	85
Sensory			0	1	1	2	2	4	3	3	6
All	458	82	10	21	31	59	53	112	123	96	220

13. A further 88 pupils are projected to need a specialist 16 – 25 educational provision either at a 6th form in a special school or with place support in a College.
14. In Early Years, it is projected that a further 59 specialist nursery places are likely to be required.
15. In addition, it is expected that amongst those children and young people with an EHCP, but not requiring a special school provision (441), that 156 are likely to need a Resource Base or Enhanced Learning Provision (ELP) in a mainstream school and 284 will require support with a Named Pupil Allowance (NPA) in a mainstream school.

Conclusion

16. The local authority has a statutory responsibility to commission and secure the right education provision to meet the needs of children and young people with SEN/D, and will be taking a paper to the November Cabinet seeking permission to go to consultation on proposals to address both the issue of demand, and securing a better geographical distribution of provision, in relation to in area special school places.
17. Special Schools have been invited to submit proposals, which address these issues, for inclusion in the consultation.

Proposals

1. Schools Forum is asked to note this report.
2. A further report will be brought to the December meeting detailing the consultation proposals.

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